

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

U67120MH1996GOI097783

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACG2218H

(ii) (a) Name of the company

CANARA BANK SECURITIES LIM

(b) Registered office address

7th Floor, Maker Chambers III, Nariman Point
Mumbai
Mumbai City
Maharashtra
400021

(c) *e-mail ID of the company

cbsl@canmoney.in

(d) *Telephone number with STD code

02243603836

(e) Website

www.canmoney.in

(iii) Date of Incorporation

01/03/1996

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Union Government Company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(b) CIN of the Registrar and Transfer Agent

Pre-fill

Name of the Registrar and Transfer Agent

Registered office address of the Registrar and Transfer Agents

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)(viii) *Whether Annual general meeting (AGM) held Yes No(a) If yes, date of AGM (b) Due date of AGM (c) Whether any extension for AGM granted Yes No**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY***Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K7	Financial Advisory, brokerage and Consultancy Services	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)*No. of Companies for which information is to be given

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	CANARA BANK		Holding	99.99

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY**(i) *SHARE CAPITAL**

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	100,000,000	40,000,000	40,000,000	40,000,000
Total amount of equity shares (in Rupees)	1,000,000,000	400,000,000	400,000,000	400,000,000

Number of classes

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	100,000,000	40,000,000	40,000,000	40,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	1,000,000,000	400,000,000	400,000,000	400,000,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	40,000,000	400,000,000	400,000,000	
Increase during the year	0	0	0	0
i. Public Issues	0	0	0	0
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	0	0	0	0

vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify <input type="text"/>				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify <input type="text"/>				
At the end of the year	40,000,000	400,000,000	400,000,000	
Preference shares				
At the beginning of the year	0	0	0	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify <input type="text"/>				
Decrease during the year	0	0	0	0
i. Redemption of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify <input type="text"/>				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		14/09/2019	
Date of registration of transfer (Date Month Year)		04/07/2019	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	40	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor	53/54		
Transferor's Name	Shivasankaran		N.
	Surname	middle name	first name
Ledger Folio of Transferee	56/17		
Transferee's Name	Ramachandra		V.
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)		16/08/2019	
Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	40	Amount per Share/ Debenture/Unit (in Rs.)	10
Ledger Folio of Transferor		M6/08	
Transferor's Name	RAMARAO	V.	A.
	Surname	middle name	first name
Ledger Folio of Transferee		P6/13	
Transferee's Name	SIVAKUMAR	V.	K.
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)			
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
Ledger Folio of Transferor			
Transferor's Name			
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name			
	Surname	middle name	first name

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			0
Deposit			0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)**(i) Turnover**

248,574,286

(ii) Net worth of the Company

1,417,766,130

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity	Preference
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		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	240	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	39,999,760	100	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	40,000,000	100	0	0

Total number of shareholders (promoters)

7

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	

2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	0	0	0	0

Total number of shareholders (other than promoters)

0

**Total number of shareholders (Promoters+Public/
Other than promoters)**

7

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	0	0
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive

A. Promoter	1	3	1	3	0	0
B. Non-Promoter	0	2	0	2	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	2	0	2	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	1	5	1	5	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

6

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
PRADEEP SHRIRANG	06757517	Director	0	
DEBASHISH MUKHER.	08193978	Director	0	
GURPUR VEDAVYASA	08281765	Director	40	
BIPLAV KUMAR MISHF	08712819	Managing Director	0	
SHAJI KRISHNAN	07691350	Director	0	21/05/2020
ANKITA ANAND SHET	DYXPS3273K	CFO	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

15

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
BIPLAV KUMAR MISHRA	08712819	Managing Director	01/03/2020	Appointment
SHAJI KRISHNAN	07691350	Director	14/09/2019	Appointment
ANKITA ANAND SHETTY	DYXPS3273K	CFO	21/10/2019	Appointment
NAVAL SINGH SHEKHAR	CBXPS0984B	Company Secretary	23/12/2019	Cessation
UMA SUBRAMANIAM	07434953	Director	07/09/2019	Cessation
KRISHNAMURTHY PAUL	05336749	Director	14/09/2019	Appointment
KRISHNAMURTHY PAUL	05336749	Director	12/02/2020	Cessation
VENKATARAMAN KUMAR	07581213	Managing Director	03/03/2020	Cessation
KINAL AGARWAL	ATDPA1441M	CFO	22/04/2019	Cessation
DEBASHISH MUKHERJEE	08193978	Director	14/09/2019	Change in designation
GURPUR VEDAVYASARAJU	08281765	Director	14/09/2019	Change in designation
SIDDAIAHNA KOPPALI	08337530	Additional director	01/07/2019	Cessation
SANKARANARAYANAN	05230407	Additional director	24/05/2019	Appointment
SANKARANARAYANAN	05230407	Director	14/09/2019	Change in designation
SANKARANARAYANAN	05230407	Director	01/02/2020	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	14/09/2019	7	5	71.42

B. BOARD MEETINGS

*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	24/05/2019	7	5	71.43
2	14/09/2019	7	7	100
3	30/12/2019	7	7	100
4	25/02/2020	5	5	100

C. COMMITTEE MEETINGS

Number of meetings held

14

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	24/05/2019	3	3	100
2	AUDIT COMM	20/09/2019	3	3	100
3	AUDIT COMM	07/12/2019	3	3	100
4	AUDIT COMM	30/01/2020	3	2	66.67
5	AUDIT COMM	27/02/2020	3	2	66.67
6	RISK MANAGI	24/05/2019	3	3	100
7	RISK MANAGI	20/09/2019	3	3	100
8	RISK MANAGI	07/12/2019	3	3	100
9	RISK MANAGI	24/12/2019	3	3	100
10	RISK MANAGI	30/01/2020	3	2	66.67

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	04/09/2020
								(Y/N/NA)
1	PRADEEP SH	4	4	100	14	14	100	Yes
2	DEBASHISH M	4	4	100	0	0	0	Yes

3	GURPUR VED	4	4	100	14	14	100	Yes
4	BIPLAV KUMAR	0	0	0	0	0	0	Yes
5	SHAJI KRISHN	3	3	100	0	0	0	Not Applicable
6	Empty	0	0	0	0	0	0	Not Applicable

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	VENKATARAMAN	Managing Director	1,662,568.36	0	0	0	1,662,568.36
2	BIPLAV KUMAR	Managing Director	156,453.06	0	0	0	156,453.06
	Total		1,819,021.42	0	0	0	1,819,021.42

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	KINAL AGARWAL	CFO	87,808	0	0	0	87,808
2	ANKITA ANAND SHARMA	CFO	573,301	0	0	0	573,301
3	NAVAL SINGH SHARMA	Company Secretary	448,285	0	0	0	448,285
	Total		1,109,394	0	0	0	1,109,394

Number of other directors whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	PRADEEP SHRIRAM	Director	0	0	0	220,000	220,000
2	UMA SUBRAMANIAM	Director	0	0	0	60,000	60,000
3	KRISHNAMURTHY	Director	0	0	0	100,000	100,000
	Total		0	0	0	380,000	380,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
 (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director



DIN of the director

To be digitally signed by



- Company Secretary
 Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

List of attachments

List of Shareholders 31032020.pdf
CBSL MGT_8 2019 20.pdf

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Annexure - 1
Canara Bank Securities Limited
Shareholding Pattern and details of Dominant Group as on 31.03.2020

Sr No	Name	PAN	No of Shares held	Face Value per Share (Rs.)	Total Amount paid up	% of Total
	A					
1	CANARA BANK	AAACC6106G	3,99,99,760	10/-	39,99,97,600	99.9994
	Sub – Total (A)		3,99,99,760		39,99,97,600	99.9994
	B					
2	Bismay Samal	ABTPS2632L	40	10/-	400	0.0001
3	G V Prabhu	AEQPP9334L	40	10/-	400	0.0001
4	Pramod Kumar	AFHPK3990F	40	10/-	400	0.0001
5	K V Sivakumar	AEOPS9606Q	40	10/-	400	0.0001
6	V Ramachandra	AABPV9054A	40	10/-	400	0.0001
7	K M Pundarikakshan	AIBPK5373K	40	10/-	400	0.0001
	Sub – Total (B)		240		2,400	0.0006
	Total (A+B)		4,00,00,000		40,00,00,000	100.00

**AMEYA S
THAKURDE
SAI**

Digitally signed by
AMEYA S
THAKURDESAI
Date: 2020.10.29
12:59:27 +05'30'



**BIPLAV KUMAR MISHRA
MANAGING DIRECTOR**



Place: Mumbai
Date: 29.10.2020



MAYEKAR & ASSOCIATES

Company Secretaries

Partners
Anil V. Mayekar
Ketki M. Save
Jatin P. Patil

Mumbai : Nidhi Apartment No. 1, Flat No. 51/54, 5th Floor, Liberty Garden Rd No. 3, Malad (West), Mumbai - 400 064.
Tel.: +91-022-28890688 Office Mob.: +91-8452046339 Email: office@csmayekar.com

Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **Canara Bank Securities Limited (CIN: U67120MH1996GOI097783)** as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on **31st March, 2020**. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. during the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
 1. its status under the Act;
 2. maintenance of registers/records& making entries therein within the time prescribed therefore;
 3. filing of forms and returns as stated in the annual return, with the Registrar of Companies, ~~Regional Director, Central Government, the Tribunal, Court~~ or other authorities within/beyond the prescribed time;
 4. calling/ convening/ holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions ~~and resolutions passed by postal ballot~~, if, have been signed;
 5. Closure of Register of Members / Security holders, as the case may be; **Not Applicable**
 6. advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act; **Not Applicable**
 7. contracts/arrangements with related parties as specified in section 188 of the Act;
 8. ~~issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances;~~

Event occurred after the closure of financial year 2018-19 till the date of A.G.M-

In tune with the directions of DFS, GOI, to review non-core investments & disinvestment in non-core banking activities and as per the instructions of Canara Bank (Parent bank) the Board of Directors of the Company had approved the Reduction of Paid - up Share Capital

from Rs. 40 Crores to Rs. 16 Crores in its Board Meeting held on 14th September, 2019 subject to the further approval of Shareholders, NCLT, Central Government, Income-Tax Authorities, Registrar of Companies, SEBI, concerned Stock Exchanges, Depositories and such other Sectorial Regulators and Authorities, if any.

9. declaration/ payment of an interim dividend of Rs. 4.25 per equity share of face value of Rs. 10 each for the Financial year 2019-20 which has been treated as Final Dividend for the period under review , ~~transfer of unpaid/ unclaimed dividend/ other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;~~
10. signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
11. constitution/ appointment/ re-appointments/ retirement/ ~~filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them as per the provision of the Companies Act, 2013;~~
12. appointment/ reappointment/ ~~filling up casual vacancies~~ of auditors (appointed by C.A.G) as per the provisions of section 139 of the Act;
13. approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act, wherever applicable- **Not Applicable**;
14. acceptance/ renewal/ repayment of deposits -**Not Applicable**;
15. borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in - **Not Applicable**;
16. loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;**Not Applicable**
17. alteration of the provisions of the Memorandum and Articles of Association of the Company;
Not Applicable.

For Mayekar & Associates
Company Secretaries
Firm U.I.N - P2005MH007400
U.D.I.N - F007282B001303379

JATIN

PRABHAKAR

PATIL

Jatin Prabhakar Patil
Partner

Digitally signed by JATIN
PRABHAKAR PATIL
Date: 2020.11.25
12:48:26 +05'30'

F.C.S – 7282
C.O.P – 7954

Date: November 25, 2020
Place: Mumbai

Annexure A

To,
The Members
CANARA BANK SECURITIES LIMITED
U67120MH1996GOI097783

Our Certificate in Form MGT – 8 of even date is to be read along with this letter.

1. Maintenance of secretarial record is the responsibility of the management of the company. Our responsibility is to express an opinion on these secretarial records based on our audit.
2. We have followed the audit practices and processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the secretarial records. The verification was done on test basis to ensure that correct facts are reflected in secretarial records. We believe that the processes and practices, we followed provide a reasonable basis for our opinion.
3. We have not verified the correctness and appropriateness of financial records and Books of Accounts of the company.
4. The compliance of the provisions of Corporate and other applicable laws, rules, regulations, standards is the responsibility of management. Our examination was limited to the verification of procedures on test basis.
5. The Certificate in Form MGT – 8 is neither an assurance as to future viability of the company nor of the efficacy or effectiveness with which the management has conducted the affairs of the company.

For Mayekar & Associates
Company Secretaries
Firm U.I.N - P2005MH007400
U.D.I.N - F007282B001303379

JATIN

PRABHAKAR

PATIL

Jatin Prabhakar Patil

Partner

Digitally signed by JATIN
PRABHAKAR PATIL
Date: 2020.11.25 12:49:13
+05'30'

F.C.S – 7282

C.O.P – 7954

Date: November 21, 2020

Place: Mumbai